



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NAGRAL, et al.

Appln. No.: 09/880,393

Filed: June 13, 2001

For: INFORMATION STORAGE AND  
RETRIEVAL SYSTEM FOR STORING  
AND RETRIEVING THE VISUAL FORM  
OF INFORMATION FROM AN  
APPLICATION IN A DATABASE

Art Unit: 2171

Examiner: Not yet assigned

Docket No.: NGM-00102

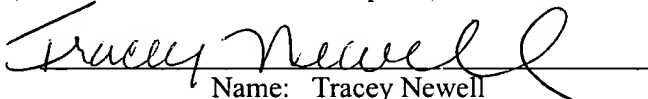
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Certificate of Mailing

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on this date of April 2, 2003.



Name: Tracey Newell

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

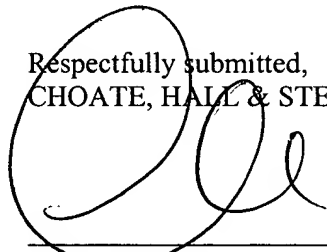
In accordance with 37 C.F.R. 1.97(b)(4), Applicant believes that no fee is due since this Information Disclosure Statement (IDS) is submitted before the mailing of the first Office Action. The documents listed as TAB 1 through TAB 115 on the PTO-1449 form being submitted herewith are in connection with a litigation matter for U.S. Patent No. 6,260,044.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 03-1721**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at 617-248-4042.

Respectfully submitted,  
CHOATE, HALL & STEWART

A large, stylized handwritten signature in black ink, appearing to read 'Anne E. Saturnelli', is written over the printed name and firm name.

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Anne E. Saturnelli  
Registration No. 41,290

Date: April 2, 2003

Patent Group  
Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109-2804



Form PTO-1449			Docket Number (Optional) NGM-00102			Application Number 09/880,393		
<b>INFORMATION DISCLOSURE CITATION</b>  <b>IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>								
			Applicant NAGRAL, et al.					
			Filing Date June 13, 2001			Group Art Unit 2171		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
						<b>RECEIVED</b>		
						<b>APR 08 2003</b>		
						<b>Technology Center 2100</b>		
<b>FOREIGN PATENT DOCUMENTS</b>								
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	
<b>OTHER DOCUMENTS</b> <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>								
TAB 1	Complaint dated 7/11/01							
TAB 2	Civil Cover Sheet dated 7/11/01							
TAB 3	Category Form							
TAB 4	Report on Filing or Determination of Action Re Patent/Trademark dated 7/11/01							
TAB 5	Summons in a Civil Case							
TAB 6	Acceptance of Service of Process dated 7/27/01							
TAB 7	Motion for Admission Pro Hac Vice dated 8/10/01							
TAB 8	Notice of Action by Court - Endorsed Order Entered Granting [2-1] Motion for William F. Abrams, Kristine L. Ching and Chang H. Kim to Appear Pro Hac Vice - "Motion ALLOWED by the Court" dated 8/13/01							
TAB 9	Defendant Scientific Software, Inc.'s Answer to Complaint and Counterclaims dated 8/15/01							
TAB 10	NuGenesis Technologies Corporation's Response to Scientific Software, Inc.'s Counterclaims dated 9/17/01							
TAB 11	Stipulation and [Proposed] Order Re Timing of Discovery dated 9/17/01							
TAB 12	Affidavit of Michael E. McGuinness (filed with the Court on 10/02/01) dated 9/30/01							
TAB 13	NuGenesis Technologies Corporation's Motion for a Preliminary Injunction dated 10/2/01							
TAB 14	Memorandum in Support of NuGenesis Technologies Corporation's Motion for a Preliminary Injunction dated 10/2/01							
TAB 15	Joint Motion for Order for Expedited Discovery and Extension of Time for Scientific Software, Inc. to File Opposition to Preliminary Injunction Motion (Kristine L. Ching's signature only) dated 10/12/01							

## OTHER DOCUMENTS (Continued)

(Including author, Title, Date, Pertinent Pages, Etc.)

TAB 16	Joint Motion for Order for Expedited Discovery and Extension of Time for Scientific Software, Inc. to File Opposition to Preliminary Injunction Motion (Kristine L. Ching and Anastasia Fernands signature) dated 10/15/01
TAB 17	Defendant/Counterclaim Scientific Software, Inc.'s Initial Disclosures Pursuant to Federal Rule of Civil Procedure 26(a) dated 10/16/01
TAB 18	Initial Disclosures of NuGenesis Technologies Corporation dated 10/16/01
TAB 19	NuGenesis Technologies Corporation's First Set of Interrogatories to Scientific Software, Inc. dated 10/19/01
TAB 20	NuGenesis Technologies Corporation's First Set of Requests for Production of Documents to Scientific Software, Inc. dated 10/19/01
TAB 21	Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories to Plaintiff/Counterdefendant NuGenesis Technologies Corporation dated 10/19/01
TAB 22	Defendant and Counterclaimant Scientific Software, Inc.'s First Set of Requests for production to Plaintiff and Counterdefendant NuGenesis Technologies Corporation dated 10/19/01
TAB 23	Joint Motion for Protective Order dated 10/21/01
TAB 24	Confidentiality Stipulation and Protective Order (Order signed on December 27, 2001) dated 10/22/01
TAB 25	Scientific Software, Inc.'s Memorandum in Support of Order for Expedited Discovery and Extension of Time to File Opposition to Preliminary Injunction Motion dated 10/25/01
TAB 26	NuGenesis Technologies Corporation's Response to Scientific Software, Inc.'s Memorandum in Support of Order for Expedited Discovery and Extension of Time to File Opposition to Preliminary Injunction Motion dated 10/30/01
TAB 27	Scientific Software, Inc.'s Response to NuGenesis First Set of Document Requests dated 11/12/01
TAB 28	Defendant/Counterclaimant Scientific Software, Inc.'s Responses to NuGenesis First Set of Interrogatories dated 11/12/01
TAB 29	NuGenesis Technologies Corporation's Response to Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories dated 11/12/01
TAB 30	NuGenesis Technologies Corporation's Response to Defendant and Counterclaimant Scientific Software, Inc.'s First Set of Requests for Production dated 11/12/01
TAB 31	Defendant/Counterclaimant Scientific Software, Inc.'s Supplemental Responses to NuGenesis First Set of Interrogatories dated 11/16/01
TAB 32	NuGenesis Technologies Corporation's Notice of Deposition - 30(b)(6) of Scientific Software, Inc. on Tuesday, 12/18/01 at 10:00 a.m. dated 11/21/01
TAB 33	NuGenesis Technologies Corporation's Notice of Deposition - 30(b)(6) of Scientific Software, Inc. on Wednesday, 12/19/01 at 10:00 a.m. dated 11/21/01
TAB 34	NuGenesis Technologies Corporation's Notice of Deposition - Soheil Saadat, Scientific Software, Inc. on Thursday, 12/20/01 at 10:00 a.m. dated 11/21/01
TAB 35	Defendant/Counterclaimant Scientific Software, Inc.'s First Notice of 30(b)(6) Deposition of Plaintiff/Counterdefendant NuGenesis Technologies Corporation dated 11/21/01
TAB 36	Scientific Software, Inc.'s Notice of Deposition of Ajit Nagral on December 14, 2001 at 9:00 a.m. dated 12/7/01
TAB 37	Scientific Software, Inc.'s Notice of Deposition of Donald Muirhead on December 17, 2001 at 4:00 p.m. dated 12/7/01
TAB 38	Scientific Software, Inc.'s Notice of Deposition of Michael McGuinness on Monday, January 7, 2002 at 9:00 a.m. dated 12/7/01
TAB 39	Scientific Software, Inc.'s Notice of Deposition of Fitzhugh Bush on Tuesday, January 8, 2002 at 9:00 a.m. dated 12/7/01
TAB 40	Scientific Software, Inc.'s Notice of Deposition of Gregory Murphy on Wednesday, January 9, 2002 at 9:00 a.m. dated 12/7/01
TAB 41	Scientific Software, Inc.'s Motion for Admission Pro Hac Vice dated 12/18/01
TAB 42	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [14-1] Motion for Mark J. Danielson to Appear Pro Hac Vice, Fee Status: Paid Fee Amt: \$50.00, Receipt #: 403637 Added Mark J. Danielson. "Motion ALLOWED" [EOD Date 12/27/01] dated 12/27/01
TAB 43	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [6-1] Stipulation Motion re: Timing of Discovery. "DONE AND ORDERED This 27th Day of December 2001" cc/cl [EOD Date 01/02/02] dated 12/27/01
TAB 44	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [10-1] Joint Motion for Expedited Discovery, Granting [10-2] Joint Motion to Extend Time to File Opposition. "Motion ALLOWED" cc/cl [EOD Date 01/02/02] dated 1/2/02
TAB 45	Certificate of Agreement to be Bound by Confidentiality Stipulation and Protective Order dated 1/16/02
TAB 46	Assented to Motion for Leave to File Opposition Memorandum in Excess of Twenty Pages dated 1/18/02
TAB 47	Request for Oral Argument dated 1/18/02
TAB 48	Defendant and Counterclaimant Scientific Software, Inc.'s Objections to Affidavit of Michael E. McGuinness Submitted in Support of NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
TAB 49	Defendant and Counterclaimant Scientific Software, Inc.'s Memorandum in Opposition to NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
TAB 50	Declaration of Michael H. Elliott in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
TAB 51	Declaration of Soheil Saadat in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corporation's Motion for Preliminary Injunction dated 1/18/02
TAB 53	NuGenesis Technologies Corporation's Unopposed Motion to File Under Seal dated 2/1/02

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## OTHER DOCUMENTS (Continued)

(Including author, Title, Date, Pertinent Pages, Etc.)

TAB 54	NuGenesis Technologies Corporation's Unopposed Motion for Leave to File Reply dated 2/1/02
TAB 55	NuGenesis Technologies Corporation's Unopposed Motion to File Memorandum in Excess of Twenty Pages dated 2/1/02
TAB 56	NuGenesis Technologies Corporation's Reply to Scientific Software, Inc.'s Memorandum in Opposition to NuGenesis Technologies Corporation's Motion for a Preliminary Injunction dated 2/1/02
TAB 57	Plaintiff's Response to Defendant's Objections to Affidavit of Michael E. McGuinness dated 2/1/02
TAB 58	Affidavit of Edward Lawrence Bayiates dated 2/1/02
TAB 59	Affidavit of Carey Gregory dated 2/1/02
TAB 60	Affidavit of Carl Philip Emmanuel Dos Santos dated 2/1/02
TAB 61	Affidavit of Gregory P. Murphy dated 2/1/02
TAB 62	Affidavit of Ajit S. Nagral dated 2/1/02
TAB 63	Affidavit of Anastasia M. Fernands dated 2/1/02
TAB 64	Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 65	Memorandum of Law in Support of Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 66	Scientific Software, Inc.'s Unopposed Motion to File Under Seal dated 2/13/02
TAB 67	Request for Oral Argument and Consolidated Consideration dated 2/13/02
TAB 68	Statement of Undisputed Facts in Support of Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 69	Declaration of Soheil Saadat in Support of Scientific Software, Inc.'s Motion for Summary Judgment dated 2/13/02
TAB 70	NuGenesis Technologies Corporation's Response to Defendant's Request for Consolidated Consideration dated 2/21/02
TAB 71	Assented to Motion to Extend Time to File an Opposition to Scientific Software, Inc.'s Motion for Summary Judgment dated 2/21/02
TAB 72	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [33-1] Motion to Extend Time to March 13, 2002 to Respond to Motion for Summary Judgment, Response to Motion Reset to 3/31/02 for [27-1] Motion for Summary Judgment dated 2/26/02
TAB 73	Assented to Motion for Additional Extension of Time to File an Opposition to Scientific Software, Inc.'s Motion for Summary Judgment dated 3/8/02
TAB 74	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order Entered Granting [34-1] Motion to Extend Time to March 20, 2002 to File Opposition dated 3/13/02
TAB 75	Affidavit of Gill Andrews Pratt dated 3/14/02
TAB 76	Affidavit of Ajit S. Nagral dated 3/19/02
TAB 77	NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment of Infringement dated 3/20/02
TAB 78	NuGenesis Technologies Corporation's Memorandum in Opposition to Scientific Software, Inc.'s Motion for Summary Judgment and in Support of NuGenesis's Cross Motion for Partial Summary Judgment of Infringement dated 3/20/02
TAB 79	NuGenesis Technologies Corporation's Unopposed Motion to File Memorandum in Excess of Twenty Pages dated 3/20/02
TAB 80	NuGenesis Technologies Corporation's Unopposed Motion to File Under Seal dated 3/20/02
TAB 81	NuGenesis Technologies Corporation's Response to the Statement of Undisputed Facts in Support of Defendant and Counterclaimant Scientific Software, Inc.'s Motion for Summary Judgment and Statement of Additional Material Facts dated 3/20/02
TAB 82	Assented-to Motion to Extend Time to File an Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment dated 4/3/02
TAB 82A	Assented-to Motion to Extend Time to File an Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment - MOTION ALLOWED BY COURT ON 4/8/02 dated 4/10/02
TAB 83	Notice of Appearance - Denise Marie Barton dated 4/17/02
TAB 84	Assented-to Motion for Leave to File Opposition Memorandum in Excess of Twenty Pages dated 4/17/02
TAB 85	Defendant and Counterclaimant Scientific Software, Inc.'s Memorandum in Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment dated 4/17/02
TAB 86	Response to NuGenesis Technology Corporation's Statement of Additional Material Facts dated 4/17/02
TAB 87	Declaration of Chang H. Kim in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corporation's Cross-Motion for Summary Judgment dated 4/17/02
TAB 88	Declaration of Soheil Saadat in Support of Scientific Software, Inc.'s Opposition to NuGenesis Technologies Corp.'s Cross-Motion for Summary Judgment dated 4/17/02
TAB 89	Assented-to Motion for Leave to Withdraw From Case dated 4/24/02
TAB 90	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [20-1] motion to leave to File Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
TAB 91	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [22-1] motion for leave to file reply. "MOTION ALLOWED" dated 4/30/02

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## OTHER DOCUMENTS (Continued)

(Including author, Title, Date, Pertinent Pages, Etc.)

TAB 92	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [23-1 ] motion for leave to file Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
TAB 93	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [26-1 ] motion to seal/impound. "MOTION ALLOWED" dated 4/30/02
TAB 94	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [28-1] motion to seal/impound dated 4/30/02
TAB 95	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [36-1] motion for leave to file Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
TAB 96	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [39-1] motion to seal/impound. "MOTION ALLOWED" dated 4/30/02
TAB 97	Notice of Action by the Court - Judge Nathaniel M. Gorton. Endorsed Order entered granting [42-1] motion for leave to file Memorandum in excess of 20 pages. "MOTION ALLOWED" dated 4/30/02
TAB 98	Assented-to Motion for Leave to Withdraw from Case – MOTION ALLOWED by the Court dated 4/30/02
TAB 99	NuGenesis Technologies Corporation's Motion for Leave to File Reply dated 5/6/02
TAB 100	NuGenesis Technologies Corporation's Motion to File Under Seal dated 5/6/02
TAB 101	Affidavit of Anastasia M. Fernands dated 5/6/02
TAB 102	NuGenesis Technologies Corporation's Request for Hearing dated 5/6/02
TAB 103	Notice of Appearance – Donald W. Muirhead dated 5/9/02
TAB 104	Notice of Scheduling Conference dated 6/4/02
TAB 105	Notice of Motion hearing - Motions for Summary Judgment and Motion for Preliminary Injunction dated 6/4/02
TAB 106	Motion for Leave to Bring Electronic Equipment into the Courthouse dated 7/18/02
TAB 107	Notice granting 64-1 motion for leave to bring electronic equipment into the courthouse dated 7/23/02
TAB 108	Local Rule 16.1 Joint Statement dated 7/24/02
TAB 109	Rule 16.1(D)(3) Certification dated 7/24/02
TAB 110	Plaintiffs Local Rule 16.1(D)(3) Certification dated 7/24/02
TAB 111	Counter-Motion for Leave to Bring Electronic Equipment into the Courthouse dated 7/29/02
TAB 112	NuGenesis Technologies Corporation's Opposition to Scientific Software, Inc.'s Counter-Motion for Leave to Bring Electronic Equipment into the Courthouse dated 7/30/02
TAB 113	Memorandum and Order dated 9/9/02
TAB 114	NuGenesis Technologies Second Set of Interrogatories to Scientific Software, Inc. dated 10/4/02
TAB 115	NuGenesis Technologies Second Set of Requests for Production of Documents to Scientific Software, Inc. dated 10/4/02
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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